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PTO/5B/98A (10-01)

U. S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute Form 1449A/PTD

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

Complete if KN or wn

Application Number 09/964786  
Filing Date September 26, 2001  
First Named Inventor Ben C. Platt  
Art Unit N/A  
Examiner Name Not Yet Assigned  
Attorney Docket Number LA-7093-112 US

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## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No.	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant or Cited Document	Pages, Columns, Lines, Where Relevant Paragraphs or Relevant Figures Appear
Y	AA	5229797-		FUTHEY, et al	
Y	AB	6086204-		MAGNANTE	
Y	AC	6139147-		ZHANG	
	AD				
	AE				
	AF				

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## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.	Foreign Patent Document <sup>1</sup> Country Code <sup>2</sup> -Number <sup>3</sup> -Kind Code <sup>4</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Paragraphs or Relevant Figures Appear

\* Applicant's unique citation designation number (optional). <sup>2</sup> See attached Kindle Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WFO Standard ST 3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WFO Standard ST, 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

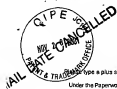
## OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Indicate name of the author (in CAPITAL LETTERS), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.	

Examiner Signature	Date Considered
<i>Richard G. T.</i>	12/16/03

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PTO/SB/088 (08-96)

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Substitute for form 1449A/PTO		Complete if Known			
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		Application Number	09/964786		
		Filing Date	September 26, 2001		
		First Named Inventor	Ben C. Platt		
		Group Art Unit	N/A		
		Examiner Name	Not Yet Assigned		
Sheet	2	of	2	Attorney Docket Number	LA-7093-112 US

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
	E	Brandser et al., Acta Ophthalmol Scand 75:162-165 (1997)	
	F	Oshika et al., J. Cataract Refract Surg 24:509-514 (1998)	
	G	Liang et al., J. Opt. Soc. Am. A-Vol 14-No. 11 (1997)	
	H	He et al., Optical Society of America, Vol. 15 No. 9 (1996)	
	I	Liang et al., Optical Society of America, Vol. 11 No. 7 (1994)	
	J	Salmon et al., Optical Society of America, Vol. 15 No. 9 (1998)	
	K	Liang et al., Optical Society of America, Vol. 14 No. 11 (1997)	
	L	Roos et al., Photonics Spectra, Circle 77 (2000)	
	M	Mahoney et al., Ocular Surgery News, Vol. 18, No. 15, (2000)	
	N	Charters, Hotel & Motel Management, Ophthalmology Times, (2000)	
	O	Review of Ophthalmology, (September 2000)	

Examiner Signature	<i>Kevin C. Platt</i>	Date Considered	01/12/04
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Substitutes for form 1449A/PTD  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		Complete if Known Application Number: 09/964786 Filing Date: September 26, 2001 First Named Inventor: Ben C. Platt Group Art Unit: N/A Examiner Name: Not Yet Assigned Attorney Docket Number: LA-7093-112 US	
Sheet	1	of	2

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Paragraphs or Relevant Figures Appear
		Number	Kind Code <sup>1</sup> (if known)			
✓	A	5,684,836		Chow et al		
✓	B	5,998,096		Umemoto et al		
✓	C	5,258,024		Chavel et al		

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.	Foreign Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Paragraphs or Relevant Figures Appear
		Office <sup>2</sup>	Number <sup>3</sup> Kind Code <sup>4</sup> (if known)			
	D		PCT/US00/41656		7/23/2000	

Examiner Signature	<i>Burke L. Platt</i>	Date Considered	12/16/03
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